

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT

FOURTH AMENDMENT TO CONTRACT NO. C000050549

This contractual Agreement entered into by and between the Commonwealth of Pennsylvania, Department of Community and Economic Development, hereinafter referred to as the "Grantor", and the

COMMUNITY ACTION PARTNERSHIP OF  
CAMBRIA COUNTY  
516 Main Street

Johnsntown, PA 15901

hereinafter referred to as the "Grantee" party of the second part.

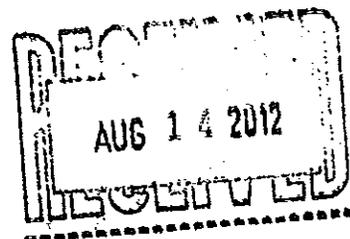
WITNESSETH:

WHEREAS, the Grantor has a Contract with the Grantee, and

WHEREAS, the Grantor wishes to amend the Contract to allow the Grantee to carry out the scope of services, and

NOW, THEREFORE, the parties hereto intending to be legally bound do hereby agree to the following:

1. Those programmatic changes and modification detailed in Appendix A, B & C.
2. The amount of this Budget Amendment is ONE HUNDRED SIXTEEN THOUSAND, FIVE HUNDRED SEVENTY FOUR DOLLARS (\$116,574.00) AND NO CENTS-----.  
The total amount of this Contract as amended will be ONE MILLION, FOUR HUNDRED SEVEN THOUSAND, TWO HUNDRED SIXTY SEVEN DOLLARS (\$1,407,267.00) AND NO CENTS-----.
3. All terms and conditions of this Contract not changed or modified by this Amendment shall remain in full force and effect.



IN WITNESS WHEREOF the parties hereunto have set their hands and seals on:

WITNESS:

COMMUNITY ACTION PARTNERSHIP OF  
CAMBRIA COUNTY

For Commonwealth signatures only

Vendor Number \_\_\_\_\_  
Federal Identification Number \_\_\_\_\_

Commonwealth of Pennsylvania  
Acting through the  
Department of Community and  
Economic Development

GRANTEE: Please sign & complete at "X's" only

X By [Signature] (Seal)

Secretary/Deputy Secretary

9/19/12  
Date

X Title EXEC. DIR

X Date 8/16/12

Approved:

X By [Signature]

I hereby certify that funds in the amount of  
**\$116,574** are available under Appropriations  
Symbol:

X Title Board President

7021312000 2419001000 P390429042006600700 -  
\$116,574

X Date 9/1/12

Program WXX  
Contract # C000050549  
CFDA # DOE: 81.042  
CFDA # LIHEAP: 93.568

For Commonwealth signatures only

Approved as to Legality and Form

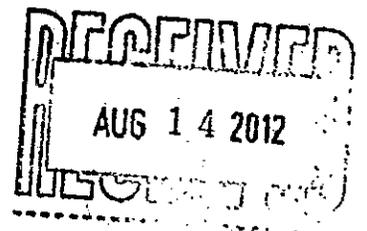
Comptroller approved as to fiscal responsibility,  
budgetary appropriateness and availability of  
funds:

[Signature] 9/18/12  
Office of Chief Counsel Date

[Signature] 9/26/12  
Comptroller Date

Preapproved Form # 4-K-2202  
Office of General Counsel Date

Preapproved Form # 4-K-2202  
Office of Attorney General Date





## WEATHERIZATION ASSISTANCE PROGRAM PROPOSAL SUMMARY

### SECTION I: GENERAL INFORMATION

|   |                            |
|---|----------------------------|
| CONTRACT NUMBER:<br>C000050549                                | IRS TAX EXEMPT NUMBER:     |
| SUBGRANTEE:<br>Community Action Partnership of Cambria County |                            |
| AGENCY ADDRESS:<br>516 Main Street<br>Johnstown, PA 15901     |                            |
| EXECUTIVE DIRECTOR:<br>Jeffrey Vaughn                         | TELEPHONE:<br>814-536-9031 |
| CONTACT PERSON<br>Barbara Kellman                             | TELEPHONE:<br>814-254-4001 |

| AMOUNT OF GRANT REQUEST |            |
|-------------------------|------------|
| Total Grant:            | \$ 758,242 |
| DOE:                    | \$ 184,626 |
| LIHEAP Crisis:          | \$ 573,616 |
| Other:                  |            |

| OTHER NON-WEATHERIZATION FUNDS |        |
|--------------------------------|--------|
| SOURCE                         | AMOUNT |
|                                |        |
|                                |        |
|                                |        |
| Total:                         | \$     |

### SECTION II: APPLICATION SUMMARY

| PROPOSED EXPENDURE PERIOD       |               |
|---------------------------------|---------------|
| (DOE) From: 7/1/2011            | To: 6/30/2012 |
| (LIHEAP Crisis) From: 10/1/2011 | To: 9/30/2012 |
| (OTHER) From:                   | To:           |
| Area(s) to be served:           |               |

| PROPOSED # UNITS TO BE WEATHERIZED |    |
|------------------------------------|----|
| DOE:                               | 20 |
| LIHEAP CRISIS:                     | 85 |
| OTHER:                             |    |

### SECTION III: PROGRAM SUMMARY

Community Action Partnership of Cambria County proposes to provide standard weatherization, furnace modifications, crisis services and energy education to households occupied by low income families. Work will be performed by private contractors through a subcontracting system.

**Housing Stock Forecast**

**Building Type DOE LIHEAP**

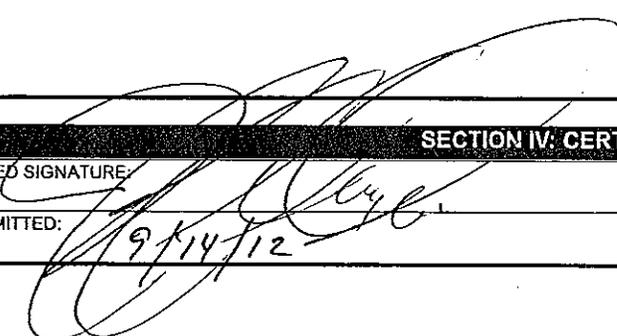
|                                   |     |     |
|-----------------------------------|-----|-----|
| Multi-Family - (5 or more units)  | 16% | 16% |
| Single Family - (owner occupied)  | 49% | 51% |
| Single Family - (renter occupied) | 19% | 20% |
| Mobile Home - (owner occupied)    | 10% | 11% |
| Mobile Home - (renter occupied)   | 6%  | 2%  |

**SECTION III: PROGRAM SUMMARY (cont'd)**

Monthly Production Forecast

| Month              | DOE Units | "LiHeap Crisis Units" | Total Units |
|--------------------|-----------|-----------------------|-------------|
| Jul 2011           | 0         | 0                     | 0           |
| Aug 2011           | 0         | 0                     | 0           |
| Sept 2011          | 0         | 0                     | 0           |
| Oct 2011           | 0         | 0                     | 0           |
| Nov 2011           | 0         | 21                    | 21          |
| Dec 2011           | 0         | 21                    | 21          |
| Jan 2012           | 0         | 19                    | 19          |
| Feb 2012           | 0         | 14                    | 14          |
| Mar 2012           | 5         | 10                    | 15          |
| Apr 2012           | 5         | 0                     | 5           |
| May 2012           | 5         | 0                     | 5           |
| Jun 2012           | 5         | 0                     | 5           |
| Jul 2012           | 0         | 0                     | 0           |
| Aug 2012           | 0         | 0                     | 0           |
| Sept 2012          | 0         | 0                     | 0           |
| <b>Total Units</b> | <b>20</b> | <b>85</b>             | <b>105</b>  |

**SECTION IV: CERTIFICATION**

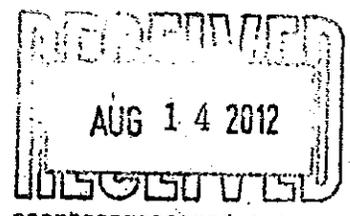
|  |   |
|--|---|
| AUTHORIZED SIGNATURE:<br> | NAME AND TITLE:<br>JEFF VAUGHN EXEC. DIR. |
| DATE SUBMITTED:<br>9/14/12   |   |

**PA Weatherization Program  
Budget Summary for FY 2011 - 2012**

|  |  |
|--|--|
| <b>Agency:</b>   | Community Action Partnership of Cambria County |
| <b>Application Date:</b>                                   | 7/5/2012                                       |
| <b>Proposed Contract Period:</b>                           | 07/01/2011 - 09/30/2013                        |
| <b>Expenditure Period:</b>                                 | 7/1/2011 - 9/30/2012                           |
| <b>Amendment Number:</b><br><small>(if applicable)</small> | C000050549                                     |
| <b>Contract Number:</b>                                    | 4  |
| <b>Amendment Date:</b>                                     | 7/5/2012                                       |

|  | DOE          | LIHEAP Amend 3 | LIHEAP Amend 4 | Total LIHEAP | FY 11/12<br>Total Wx Program |
|--|--------------|----------------|----------------|--------------|------------------------------|
| <b>I. Administration</b>                         |              |                |                |              |                              |
| <b>A. Personnel</b>                              | 0.00         | 4,911.00       | 0.00           | 4,911.00     | 4,911.00                     |
| <b>B. Operating Costs</b>                        | 10,274.00    | 17,941.00      | 5,829.00       | 23,770.00    | 34,044.00                    |
| <b>C. Office Equipment</b>                       | 0.00         | 0.00           | 0.00           | 0.00         | 0.00                         |
| <b>TOTAL ADMINISTRATIVE COSTS</b>                | \$10,274.00  | \$22,852.00    | \$5,829.00     | \$28,681.00  | \$38,955.00                  |
| <b>II. Financial.</b>                            |              |                |                |              |                              |
| <b>A. Financial Audit</b>                        | 690.00       | 2,000.00       | 1,165.00       | 3,165.00     | 3,855.00                     |
| <b>TOTAL FINANCIAL AUDIT COSTS</b>               | \$690.00     | \$2,000.00     | \$1,165.00     | \$3,165.00   | \$3,855.00                   |
| <b>III. Direct Services</b>                      |              |                |                |              |                              |
| <b>A. Program Support</b>                        | 58,656.00    | 162,440.00     | 46,076.00      | 208,516.00   | 265,172.00                   |
| <b>B. Program Operations</b>                     | 70,724.00    | 269,625.00     | 46,076.00      | 315,701.00   | 388,425.00                   |
| <b>C. Vehicles &amp; Major Equipment</b>         | 0.00         | 0.00           | 0.00           | 0.00         | 0.00                         |
| <b>D. Health &amp; Safety</b>                    | 36,124.00    | 0.00           | 16,282.00      | 16,262.00    | 52,386.00                    |
| <b>TOTAL DIRECT SERVICES</b>                     | \$163,504.00 | \$432,065.00   | \$108,414.00   | \$540,479.00 | \$703,983.00                 |
| <b>IV. Liability</b>                             |              |                |                |              |                              |
| <b>A. Liability</b>                              | 58.00        | 125.00         | 1,166.00       | 1,291.00     | 1,349.00                     |
| <b>TOTAL LIABILITY</b>                           | \$58.00      | \$125.00       | \$1,166.00     | \$1,291.00   | \$1,349.00                   |
| <b>V. Training &amp;</b>                         |              |                |                |              |                              |
| <b>A. Training &amp; Technical Assistance</b>    | 10,100.00    | 0.00           | 0.00           | 0.00         | 10,100.00                    |
| <b>TOTAL Training &amp; Technical Assistance</b> | \$10,100.00  | \$0.00         | \$0.00         | \$0.00       | \$10,100.00                  |
| <b>GRAND TOTAL</b>                               | \$184,626.00 | \$457,042.00   | \$116,574.00   | \$673,616.00 | \$758,242.00                 |
|  | DOE          | LIHEAP Amend 3 | LIHEAP Amend 4 | LIHEAP       |                              |
| Proposed Number of Units                         | 20           | 70             | 15             | 85           |                              |
| Cost per Unit (as per DOE)                       | \$6,314      | \$6,244        | \$6,314        |              |                              |

APPENDIX B



**SPECIAL CONDITIONS**  
**WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS PROGRAM**

Notwithstanding any provision contained herein to the contrary, the following Special Conditions apply to the above – referenced grant contract and are incorporated therein:

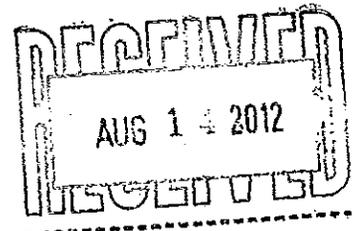
Original:

The grant contract amount represents the entire amount allocated to each agency based on a distribution formula. The agency will initially have spending authority for 50% of the allocation. Over the course of the 2010-2011 program year, the other 50% will be authorized or redistributed based upon specific performance quality metrics that will focus on quality, reporting and compliance with production schedules and the all other program requirements.

Subsequent Amendment:

Federal Funding Accountability and Transparency Act Provisions.

- (1) Registration and Identification Information.
  - (A) The Grantee must maintain current registration in the Central Contractor Registration ([www.ccr.gov](http://www.ccr.gov)) at all times during which the Grantee has active federal awards funded pursuant to this Contract. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number ([www.dnb.com](http://www.dnb.com)) is one of the requirements for registration in the Central Contractor Registration.
  - (B) The Grantee must provide its assigned DUNS number, and DUNS + 4 number if applicable, to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (2) Primary Location.
  - (A) The Grantee must provide to the Commonwealth the primary location of performance under the grant award, including the city, State, and zip+4. If performance is to occur in multiple locations, then the Grantee must list the location where the most amount of the grant award is to be expended pursuant to this Contract.
  - (B) The Grantee must provide this information to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (3) Compensation of Officers.



- (A) The Grantee must provide to the Commonwealth the names and total compensation of the five most highly compensated officers of the entity if:
- (i) the entity in the preceding fiscal year received:
    - (a) 80 percent or more of its annual gross revenues in Federal awards; and
    - (b) \$25,000,000 or more in annual gross revenues from Federal awards; and
  - (ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- (B) If the Grantee does not meet the conditions listed above, then it must specifically affirm to the Commonwealth that the requirements of this clause are inapplicable to the Grantee.
- (C) The Grantee must provide information responding to this question along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides such information responding to this question.

#### **FOURTH Amendment**

The Low Income Household Energy Assistance Program (LIHEAP) funds distributed under this amendment must be used to provide energy conservation and weatherization services according to the following rules:

1. All LIHEAP Crisis Service recipients who were served during the Crisis Season from November 1, 2011 to April 13, 2012 must receive first priority to receive weatherization services. Eligible clients are those referred to the Grantee no later than April 13, 2012 and who subsequently received Crisis services.
2. LIHEAP Crisis clients served by the Grantee must be contacted and offered weatherization services. Grantee documentation must include the method of contact and the reason, if applicable, that client did not receive weatherization services.
3. Prior to the start of weatherization services, the subgrantee must obtain verification from the client that their income and household make up have not changed since applying for LIHEAP assistance. Verification must be done in accordance with existing Weatherization program directives.
4. LIHEAP funds provided under this amendment must be expended by September 30, 2012, unless otherwise directed in writing by the Grantor.

