

**COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT**

THIRD AMENDMENT TO CONTRACT NO. C000050651

This contractual Agreement entered into by and between the **Commonwealth of Pennsylvania, Department of Community and Economic Development**, hereinafter referred to as the "**Grantor**", and the

**COMMUNITY ACTION COMMITTEE OF THE
LEHIGH VALLEY, INC.
1337 East Fifth Street
Bethlehem, PA 18015**

hereinafter referred to as the "**Grantee**" party of the second part.

WITNESSETH:

WHEREAS, the **Grantor** has a Contract with the **Grantee**, and

WHEREAS, the **Grantor** wishes to amend the Contract to allow the **Grantee** to carry out the scope of services, and

NOW, THEREFORE, the parties hereto intending to be legally bound do hereby agree to the following:

1. Those programmatic changes and modification detailed in Appendix A, B & C.
2. The amount of this Budget Amendment is **EIGHT HUNDRED FIFTY TWO THOUSAND, SIX HUNDRED FIVE DOLLARS (\$852,605.00) AND NO CENTS-----.**
The total amount of this Contract as amended will be **ONE MILLION, SIX HUNDRED SEVENTY NINE THOUSAND, NINE HUNDRED TWENTY EIGHT DOLLARS (\$1,679,928.00) AND NO CENTS-----.**
3. All terms and conditions of this Contract not changed or modified by this Amendment shall remain in full force and effect.

IN WITNESS WHEREOF the parties hereunto have set their hands and seals on:

WITNESS:

COMMUNITY ACTION COMMITTEE OF THE LEHIGH VALLEY, INC.

For Commonwealth signatures only

Vendor Number _____
Federal Identification Number _____

Commonwealth of Pennsylvania
Acting through the
Department of Community and
Economic Development

GRANTEE: Please sign & complete at "X's" only

X By [Signature] (Seal)
X Title Executive Director
X Date 2.10.12

[Signature] 3/12/12
Secretary/Deputy Secretary Date

Approved:

X By [Signature]
X Title Board President
X Date 2.10.12

I hereby certify that funds in the amount of **\$852,605** are available under Appropriations Symbol:

7022211000 2419001000 P395009503006600700 - \$194,398
7022211000 2419001000 P395009503306600700 - \$11,250
7021311000 2419001000 P390429042006600700 - \$646,957

Program WXX
Contract # C000050651
CFDA # DOE: 81.042
CFDA # LIHEAP: 93.568

For Commonwealth signatures only

Approved as to Legality and Form

[Signature] 3/8/12
Office of Chief Counsel Date

Comptroller approved as to fiscal responsibility, budgetary appropriateness and availability of funds:

Preapproved Form # 4-K-2202
Office of General Counsel Date

[Signature] 3/22/12
Comptroller Date

Preapproved Form # 4-K-2202
Office of Attorney General Date



WEATHERIZATION ASSISTANCE PROGRAM PROPOSAL SUMMARY

OFFICE COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF COMMUNITY & ECONOMIC DEVELOPMENT
OFFICE OF ENERGY CONSERVATION & WEATHERIZATION

JAN 26 2012

CONSERVATION & WEATHERIZATION

SECTION I: GENERAL INFORMATION

CONTRACT NUMBER: C000050651	IRS TAX EXEMPT NUMBER:
SUBGRANTEE: Community Action Committee of the Lehigh Valley	
AGENCY ADDRESS: 1337 East Fifth Street, Bethlehem PA 18015	
EXECUTIVE DIRECTOR: Alan L. Jennings	TELEPHONE: 610-691-5620
CONTACT PERSON Michael J. Kassay	TELEPHONE: 610-691-5620

AMOUNT OF GRANT REQUEST

Total Grant:	\$ 852,605.00
DOE:	205,648.00
LIHEAP Crisis:	646,957.00
Other:	

OTHER NON-WEATHERIZATION FUNDS

SOURCE	AMOUNT
Total:	\$

SECTION II: APPLICATION SUMMARY

PROPOSED EXPENDITURE PERIOD

(DOE) From: 7/1/2011	To: 6/30/2012
(LIHEAP Crisis) From: 10/1/2011	To: 9/30/2012
(OTHER) From:	To:
Area(s) to be served: Lehigh & Northampton Counties	

PROPOSED # UNITS TO BE WEATHERIZED

DOE:	30
LIHEAP CRISIS:	170
OTHER:	

SECTION III: PROGRAM SUMMARY

Community Action Committee of the Lehigh Valley (CACLV) was created in 1965 as a 501c3 nonprofit organization to work with and for the low-income residents of the Lehigh Valley in order to combat poverty. The mission of the CACLV is to improve the quality of life in the Lehigh Valley by building a community in which all people have access to economic opportunity, the ability to pursue that opportunity, and a voice in the decisions that affect their lives. The programs of the CACLV offer assistance with home heating problems through direct financial assistance, counseling, and weatherization of residences; food for the hungry through more than 200 member agencies affiliated with the Second Harvest Food Bank of the Lehigh Valley and Northeast Pennsylvania; shelter and services for homeless families at the Sixth Street Shelter/Turner Street Apartments. CACLV's Supported Work Program assists individuals to improve their employability skills and obtain employment. Other CACLV programs provide Start-Your-Business classes, business technical assistance, and other economic development activities to improve the Valley's three cities and their neighborhoods.

CACLV's Energy Partnership, begun in the early 1980s in response to the loss of a local nonprofit organization that provided weatherization services, seeks to provide residential energy-related services and assistance to low-income households in the Lehigh Valley. This is done through weatherization, heating system repairs and replacements in emergency situations through the Low Income Home Energy Assistance Crisis Program (LIHEAP), energy conservation education, energy bill assistance.

For many years, CACLV has worked with PPL Electric Utilities, First Energy Corporation, UGI Utilities and the Commonwealth of Pennsylvania's Department of Community and Economic Development in providing an integrated energy conservation and assistance program. CACLV has administered a program that includes the installation of energy-saving materials and equipment in homes and emergency home heating repairs. CACLV staff members have been fully engaged in providing education to residents regarding cost-cutting measures. At the same time, CACLV continues to partner with local home improvement contractors, including

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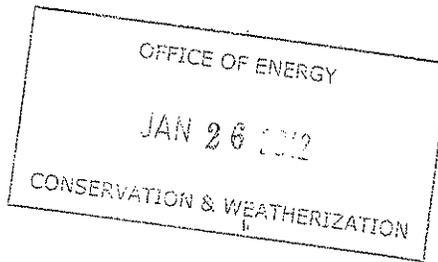
SECTION III: PROGRAM SUMMARY (cont'd)

certified electricians and registered plumbers, for the actual installation work. The program has been a successful collaboration of CACLV, the Commonwealth, the public utilities and local contractors. In just the 2010-11 program year, CACLV has weatherized more than 1500 homes.

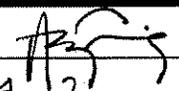
The Lehigh Valley, population 816,012, contains a preponderance of old housing that are inefficient with energy use, costing residents a great deal of money as energy rates continue to rise. 31.2% of the Valley's housing stock was built before 1940, when insulation and other more efficient construction tactics were not practiced. The situation is much worse, however, for residents of the lower-income cities and boroughs. 41%, 36% and 65% of homes in the Allentown, Bethlehem and Easton, respectively, were built before 1940. To make matters worse, roughly a third of Valley residents are spending more than 30% of their income on housing costs, including utility payments. This number is close to 45% for the cities, where poverty and unemployment rates are 50 to 100% higher than the region's respective totals of 10.2% and 9.0%.

The Energy Partnership staff works directly with program participants during the Weatherization Assistance Program funds Applications, Home Energy Audit, On-Site Work and Inspection. For the LIHEAP crisis program, Lehigh and Northampton Counties refer clients who have reported a loss of working heaters throughout the winter to the Energy Partnership Program. Staff members then contact those clients within 18 hours in life threatening situations and 48 hours for all other crises clients to schedule a heating system assessment. For the PA DCED Weatherization Program, potential clients contact the Energy Partnership, in addition, the Energy Partnership engages in outreach activities in the community. Based on the income, housing, and other information that the state judges relevant, each client is ranked by an existing state priority system and services are provided first to those that have higher priority numbers.

To supplement the physical work in home, the Energy Partnership's auditors provide clients with energy-saving education. An auditor must certify that the job was done correctly. As this is occurring, the auditor provides the resident with information about energy-saving behaviors, such as turning the thermostat down, turning off lights when leaving a room, layering clothing in the winter, and other simple tips that can save significant amounts of money. In addition, the auditor will educate the resident about lead and other health hazards that may be present and recommend the resident contact other service providers who can remediate those situations. In the 2010-2011 program year, the Energy Partnership provided 740 households with energy conservation education with DCED funding (including ARRA funds).



SECTION IV: CERTIFICATION

AUTHORIZED SIGNATURE: 	NAME AND TITLE: Alan L. Jennings, Ex. Director
DATE SUBMITTED: 1.24.12	

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PA Weatherization Program
Budget Summary for FY 2011 - 2012

Agency:	COMMUNITY ACTION COMMITTEE OF THE LEHIGH VALLEY
Application Date:	11/28/2011
Proposed Contract Period:	07/01/2010 - 09/30/2013
Expenditure Period:	07/01/2011 - 09/30/2012
Amendment Number: <i>(if applicable)</i>	3
Contract Number:	C000050651
Amendment Date:	11/28/2011

	DOE	LIHEAP	FY 11-12 Total Wx Program
I. Administration			
A. Personnel	9,159.00	28,902.00	38,061.00
B. Operating Costs	3,164.00	3,038.00	6,202.00
C. Office Equipment	214.00	408.00	622.00
TOTAL ADMINISTRATIVE COSTS	\$12,537.00	\$32,348.00	\$44,885.00
II. Financial Audit			
A. Financial Audit	479.00	2,074.00	2,553.00
TOTAL FINANCIAL AUDIT COSTS	\$479.00	\$2,074.00	\$2,553.00
III. Direct Services			
A. Program Support	96,406.00	165,303.00	261,709.00
B. Program Operations	69,680.00	442,935.00	512,615.00
C. Vehicles & Major Equipment	0.00	0.00	0.00
D. Health & Safety	15,296.00	0.00	15,296.00
TOTAL DIRECT SERVICES	\$181,382.00	\$608,238.00	\$789,620.00
IV. Liability			
A. Liability	0.00	4,297.00	4,297.00
TOTAL LIABILITY	\$0.00	\$4,297.00	\$4,297.00
V. Training & Technical Assistance			
A. Training & Technical Assistance	11,250.00	0.00	11,250.00
TOTAL Training & Technical Assistance	\$11,250.00	\$0.00	\$11,250.00
GRAND TOTAL	\$205,648.00	\$646,957.00	\$852,605.00
	DOE	LIHEAP	
Proposed Number of Units	30	170	
Cost per Unit (as per DOE)	\$5,536	\$3,578	

APPENDIX B

SPECIAL CONDITIONS
WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS PROGRAM

Notwithstanding any provision contained herein to the contrary, the following Special Conditions apply to the above – referenced grant contract and are incorporated therein:

Original:

The grant contract amount represents the entire amount allocated to each agency based on a distribution formula. The agency will initially have spending authority for 50% of the allocation. Over the course of the 2010-2011 program year, the other 50% will be authorized or redistributed based upon specific performance quality metrics that will focus on quality, reporting and compliance with production schedules and the all other program requirements.

Subsequent Amendment:

Federal Funding Accountability and Transparency Act Provisions.

- (1) Registration and Identification Information.
 - (A) The Grantee must maintain current registration in the Central Contractor Registration (www.ccr.gov) at all times during which the Grantee has active federal awards funded pursuant to this Contract. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (www.dnb.com) is one of the requirements for registration in the Central Contractor Registration.
 - (B) The Grantee must provide its assigned DUNS number, and DUNS + 4 number if applicable, to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (2) Primary Location.
 - (A) The Grantee must provide to the Commonwealth the primary location of performance under the grant award, including the city, State, and zip+4. If performance is to occur in multiple locations, then the Grantee must list the location where the most amount of the grant award is to be expended pursuant to this Contract.
 - (B) The Grantee must provide this information to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (3) Compensation of Officers.

- (A) The Grantee must provide to the Commonwealth the names and total compensation of the five most highly compensated officers of the entity if:
- (i) the entity in the preceding fiscal year received:
 - (a) 80 percent or more of its annual gross revenues in Federal awards; and
 - (b) \$25,000,000 or more in annual gross revenues from Federal awards; and
 - (ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- (B) If the Grantee does not meet the conditions listed above, then it must specifically affirm to the Commonwealth that the requirements of this clause are inapplicable to the Grantee.
- (C) The Grantee must provide information responding to this question along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides such information responding to this question.