

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT

SECOND AMENDMENT TO CONTRACT NO. C000050672

This contractual Agreement entered into by and between the **Commonwealth of Pennsylvania, Department of Community and Economic Development**, hereinafter referred to as the "**Grantor**", and the

HDC 3 CORPORATION  
439 East King Street  
Lancaster, PA 17602-3004

hereinafter referred to as the "**Grantee**" party of the second part.

WITNESSETH:

WHEREAS, the **Grantor** has a Contract with the **Grantee**, and

WHEREAS, the **Grantor** wishes to amend the Contract to allow the **Grantee** to carry out the scope of services, and

NOW, THEREFORE, the parties hereto intending to be legally bound do hereby agree to the following:

1. Those programmatic changes and modification detailed in Appendix A, B & C.
2. The amount of this Budget Amendment is THREE HUNDRED TWENTY THOUSAND, NINE HUNDRED SIXTY TWO DOLLARS (\$320,962.00) AND NO CENTS-----. The total amount of this Contract as amended will be ONE MILLION, ONE HUNDRED NINETEEN THOUSAND, AND SIX DOLLARS (\$1,119,006.00) AND NO CENTS-----.
3. All terms and conditions of this Contract not changed or modified by this Amendment shall remain in full force and effect.

IN WITNESS WHEREOF the parties hereunto have set their hands and seals on:

WITNESS:

HDC 3 CORPORATION

Vendor Number  
Federal Identification Number

For Commonwealth signatures only

Commonwealth of Pennsylvania  
Acting through the  
Department of Community and  
Economic Development

GRANTEE: Please sign & complete at "X's" only

X By Richard L. Cozzani (Seal)  
X Title President  
X Date 12/19/2011

[Signature]  
Secretary/Deputy Secretary 1/11/12 Date

Approved:

X By Shakine Woodrup  
X Title Treasurer  
X Date 12/22/11

I hereby certify that funds in the amount of  
\$320,962 are available under Appropriations  
Symbol:  
P39042904200  
7021311000 2419001000 ~~P300400041006600700~~ -  
\$320,962

Program WXX  
Contract # C000050672  
CFDA # DOE: 81.042  
CFDA # LIHEAP: 93.568

For Commonwealth signatures only

Approved as to Legality and Form

[Signature] 1/10/12  
Office of Chief Counsel Date

Preapproved Form # 4-K-2202  
Office of General Counsel Date

Preapproved Form # 4-K-2202  
Office of Attorney General Date

Comptroller approved as to fiscal responsibility,  
budgetary appropriateness and availability of  
funds:

[Signature] 2/6/2012  
Comptroller Date



# WEATHERIZATION ASSISTANCE PROGRAM PROPOSAL SUMMARY

## SECTION I: GENERAL INFORMATION

CONTRACT NUMBER: C000050672	IRS TAX EXEMPT NUMBER:
SUBGRANTEE: HDC3 Weatherization	
AGENCY ADDRESS: 439 East King Street Lancaster, PA 17602	
EXECUTIVE DIRECTOR: Ed Kaminski	TELEPHONE: (717) 509-8045
CONTACT PERSON Missy Kelley	TELEPHONE: (717) 509-8047

AMOUNT OF GRANT REQUEST	
Total Grant:	\$ 320,962
DOE:	
LIHEAP Crisis:	320,962
Other:	

OTHER NON-WEATHERIZATION FUNDS	
SOURCE	AMOUNT
Total:	\$

## SECTION II: APPLICATION SUMMARY

PROPOSED EXPENDURE PERIOD	
(DOE) From:	To:
(LIHEAP Crisis) From: 10/1/11	To: 09/30/12
(OTHER) From:	To:
Area(s) to be served: Chester, Lebanon, & Lancaster Counties	

PROPOSED # UNITS TO BE WEATHERIZED	
DOE:	
LIHEAP CRISIS:	192
OTHER:	

## SECTION III: PROGRAM SUMMARY

CRISIS- HDC3 uses HVAC subcontractors for client heating equipment repairs & replacements as required for both standard weatherization and the LIHEAP HEATING CRISIS program.

Completed number of units

- Nov.- 25
- Dec.- 40
- Jan.- 42
- Feb.- 40
- March- 25
- April- 20

- Multi. family 5+ 0
- Single family owner 128
- Single family renter 15
- Mobile owner 39
- Mobile renter 10

**SECTION III: PROGRAM SUMMARY** *(cont'd)*

[Empty space for program summary content]

**SECTION IV: CERTIFICATION**

AUTHORIZED SIGNATURE: <i>Michael R. Carper</i>	NAME AND TITLE: <i>Michael R. Carper, President</i>
DATE SUBMITTED: <i>12/19/2011</i>	

*Appendix A pg 2 of 2*

**PA Weatherization Program**  
Budget Summary for FY 2011 - 2012

<b>Agency:</b>	HDC 3
<b>Application Date:</b>	11/16/2011
<b>Proposed Contract Period:</b>	07/01/2010 - 09/30/2013
<b>Expenditure Period:</b>	07/01/2011 - 09/30/2012
<b>Amendment Number:</b> <i>(if applicable)</i>	2
<b>Contract Number:</b>	C000050672
<b>Amendment Date:</b>	11/16/2011

	DOE	LIHEAP	FY 11-12 Total Wx Program
<b>I. Administration</b>			
<b>A. Personnel</b>	0.00	4,271.00	4,271.00
<b>B. Operating Costs</b>	0.00	5,600.00	5,600.00
<b>C. Office Equipment</b>	0.00	0.00	0.00
<b>TOTAL ADMINISTRATIVE COSTS</b>	<b>\$0.00</b>	<b>\$9,871.00</b>	<b>\$9,871.00</b>
<b>II. Financial Audit</b>			
<b>A. Financial Audit</b>	0.00	0.00	0.00
<b>TOTAL FINANCIAL AUDIT COSTS</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>III. Direct Services</b>			
<b>A. Program Support</b>	0.00	23,700.00	23,700.00
<b>B. Program Operations</b>	0.00	287,391.00	287,391.00
<b>C. Vehicles &amp; Major Equipment</b>	0.00	0.00	0.00
<b>D. Health &amp; Safety</b>	0.00	0.00	0.00
<b>TOTAL DIRECT SERVICES</b>	<b>\$0.00</b>	<b>\$311,091.00</b>	<b>\$311,091.00</b>
<b>IV. Liability</b>			
<b>A. Liability</b>	0.00	0.00	0.00
<b>TOTAL LIABILITY</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>V. Training &amp; Technical Assistance</b>			
<b>A. Training &amp; Technical Assistance</b>	0.00	0.00	0.00
<b>TOTAL Training &amp; Technical Assistance</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>GRAND TOTAL</b>	<b>\$0.00</b>	<b>\$320,962.00</b>	<b>\$320,962.00</b>
	<b>DOE</b>	<b>LIHEAP</b>	
<b>Proposed Number of Units</b>	0	192	
<b>Cost per Unit (as per DOE)</b>	\$0	\$1,620	

**APPENDIX B**

**SPECIAL CONDITIONS**  
**WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS PROGRAM**

Notwithstanding any provision contained herein to the contrary, the following Special Conditions apply to the above – referenced grant contract and are incorporated therein:

Original:

The grant contract amount represents the entire amount allocated to each agency based on a distribution formula. The agency will initially have spending authority for 50% of the allocation. Over the course of the 2010-2011 program year, the other 50% will be authorized or redistributed based upon specific performance quality metrics that will focus on quality, reporting and compliance with production schedules and the all other program requirements.

Subsequent Amendment:

Federal Funding Accountability and Transparency Act Provisions.

- (1) Registration and Identification Information.
  - (A) The Grantee must maintain current registration in the Central Contractor Registration ([www.ccr.gov](http://www.ccr.gov)) at all times during which the Grantee has active federal awards funded pursuant to this Contract. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number ([www.dnb.com](http://www.dnb.com)) is one of the requirements for registration in the Central Contractor Registration.
  - (B) The Grantee must provide its assigned DUNS number, and DUNS + 4 number if applicable, to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (2) Primary Location.
  - (A) The Grantee must provide to the Commonwealth the primary location of performance under the grant award, including the city, State, and zip+4. If performance is to occur in multiple locations, then the Grantee must list the location where the most amount of the grant award is to be expended pursuant to this Contract.
  - (B) The Grantee must provide this information to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (3) Compensation of Officers.

- (A) The Grantee must provide to the Commonwealth the names and total compensation of the five most highly compensated officers of the entity if:
- (i) the entity in the preceding fiscal year received:
    - (a) 80 percent or more of its annual gross revenues in Federal awards; and
    - (b) \$25,000,000 or more in annual gross revenues from Federal awards; and
  - (ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- (B) If the Grantee does not meet the conditions listed above, then it must specifically affirm to the Commonwealth that the requirements of this clause are inapplicable to the Grantee.
- (C) The Grantee must provide information responding to this question along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides such information responding to this question.