

COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT

THIRD AMENDMENT TO CONTRACT NO. C000050298

This contractual Agreement entered into by and between the **Commonwealth of Pennsylvania, Department of Community and Economic Development**, hereinafter referred to as the "**Grantor**", and the

**NORTHWEST PA WEATHERIZATION INC.**  
7940 Franklin Pike  
Meadville, PA 16335

hereinafter referred to as the "**Grantee**" party of the second part.

WITNESSETH:

WHEREAS, the **Grantor** has a Contract with the **Grantee**, and

WHEREAS, the **Grantor** wishes to amend the Contract to allow the **Grantee** to carry out the scope of services, and

NOW, THEREFORE, the parties hereto intending to be legally bound do hereby agree to the following:

1. Those programmatic changes and modification detailed in Appendix A, B & C.
2. The amount of this Budget Amendment is THREE HUNDRED TWENTY THOUSAND, AND FORTY FOUR DOLLARS (\$320,044.00) AND NO CENTS-----. The total amount of this Contract as amended will be SIX HUNDRED FORTY TWO THOUSAND, AND EIGHTY EIGHT DOLLARS (\$642,088.00) AND NO CENTS-----.
3. All terms and conditions of this Contract not changed or modified by this Amendment shall remain in full force and effect.

IN WITNESS WHEREOF the parties hereunto have set their hands and seals on:

WITNESS:

NORTHWEST PA WEATHERIZATION INC.

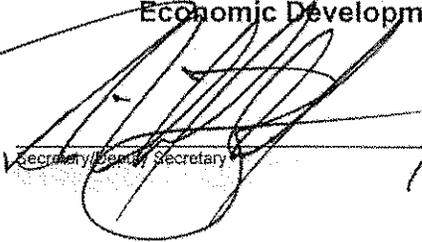
Vendor Number \_\_\_\_\_  
Federal Identification Number \_\_\_\_\_

For Commonwealth signatures only

Commonwealth of Pennsylvania  
Acting through the  
Department of Community and  
Economic Development

GRANTEE: Please sign & complete at "X's" only

X By David Ferlin (Real)  
X Title Director  
X Date 1-10-2012

  
Secretary  
Date 1/10/12

Approved:

X By [Signature]  
X Title President  
X Date 1-10-2012

I hereby certify that funds in the amount of **\$320,044** are available under Appropriations Symbol: P39042904200 <sup>mlj 1/24/2012</sup>  
7021311000 2419001000 P39042904200 1006600700 - \$206,709  
7022211000 2419001000 P395009501006600700 - \$107,135  
7022211000 2419001000 P395009502006600700 - \$6,200

For Commonwealth signatures only

Approved as to Legality and Form

[Signature] 1/12/12  
Office of Chief Counsel Date

Preapproved Form # 4-K-2202  
Office of General Counsel Date

Preapproved Form # 4-K-2202  
Office of Attorney General Date

Program WXX  
Contract # C000050298  
CFDA # DOE: 81.042  
CFDA # LIHEAP: 93.568

Comptroller approved as to fiscal responsibility, budgetary appropriateness and availability of funds:

[Signature] FEB 07 2012  
Comptroller Date



# WEATHERIZATION ASSISTANCE PROGRAM PROPOSAL SUMMARY

## SECTION I: GENERAL INFORMATION

CONTRACT NUMBER: C000050298	IRS TAX EXEMPT NUMBER:
SUBGRANTEE: Northwest PA Weatherization Inc.	
AGENCY ADDRESS: 7940 Franklin Pike Meadville PA 16335	
EXECUTIVE DIRECTOR: David Ferlin	TELEPHONE: (814) 425-1872
CONTACT PERSON Victoria Fidanza	TELEPHONE: (814) 425-1872

AMOUNT OF GRANT REQUEST	
Total Grant:	\$ 320,044.00
DOE:	113,335.00
LIHEAP Crisis:	206,709.00
Other:	

OTHER NON-WEATHERIZATION FUNDS	
SOURCE	AMOUNT
Total:	\$ 0.00

## SECTION II: APPLICATION SUMMARY

PROPOSED EXPENDURE PERIOD	
(DOE) From: 7/01/2011	To: 6/30/2012
(LIHEAP Crisis) From: 1/1/2011	To: 4/30/2012
(OTHER) From:	To:
Area(s) to be served: Crawford County	

PROPOSED # UNITS TO BE WEATHERIZED	
DOE:	13
LIHEAP CRISIS:	29
OTHER:	0

## SECTION III: PROGRAM SUMMARY

Administrative, on-site supervision, and labor costs for weatherization work will be funded from DOE funds. 40 dwellings will be serviced during the grant period, receiving weatherization, furnace work, or a combination of both. The source of labor used for weatherization will be direct hire. All furnace work will be done by approved subcontractors with appropriate checks and verification procedures. It should be noted that all LIHEAP funds will be applied toward furnace work. 13 dwellings will be weatherized or have their heating system modified as deemed necessary using DOE funds. 29 dwellings will have their heating systems modified as deemed necessary using LIHEAP funds. Each dwelling receiving weatherization will be subject to site specific energy audits which follow procedures established under the National Energy Audit (NEAT) and the current Priority List. Measures identified by the audit as having a positive savings to investment ratio will be utilized to adequately weatherize each dwelling. To accomplish these goals a pre and post audit will be performed on each dwelling. At a minimum audits will include a blower door, a complete heating system assessment, a base load assessment, and a refrigerator test. Energy usage over several months will be reviewed and the client will receive energy education from our auditors to assure a reduction in energy usage

It is estimated from past experience that we will address the following type of units:

	DOE	LIHEAP Crisis
Multi-Family:	0	0
Single Family Owner:	4	13
Single Family Renter:	3	3
Mobile Home Owner Occupied:	3	10
Mobile Home Renter Occupied:	3	3
Total:	13	29

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NOV 07 2011

CONSERVATION & WEATHERIZATION

Appendix A P8181

**SECTION III: PROGRAM SUMMARY (cont'd)**

Also, the Northwest Pennsylvania Weatherization Inc. Agency is a nonprofit agency that is a single program. The education that is available to the employees is taught by weatherization educational centers in the state of Pennsylvania, with the advancement capabilities available for each individual.

The agency client recruiting is based upon the list given by the department of public welfare, each individual is contacted at a minimum of two (2) times. Once the client arrives at the agency a interview process is handled by our intake people and priority points are established for the work on their home to be done.

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**SECTION IV: CERTIFICATION**

AUTHORIZED SIGNATURE: *David Ferlin*

NAME AND TITLE: *DAVID FERLIN DIRECTOR*

DATE SUBMITTED: *11-3-11*

*Appendix A p2a2*

**PA Weatherization Program**  
Budget Summary for FY 2011 - 2012

<b>Agency:</b>	Northwest PA Weatherization Inc.
<b>Application Date:</b>	11/3/2011
<b>Proposed Contract Period:</b>	07/01/2010 - 09/30/2013
<b>Expenditure Period:</b>	07/01/2011 - 09/30/2011
<b>Amendment Number:</b> <i>(if applicable)</i>	3
<b>Contract Number:</b>	C000050298
<b>Amendment Date:</b>	11/3/2011

		DOE	LIHEAP	FY 11/12 Total Wx Program
<b>I. Administration</b>				
<b>A.</b>	<i>Personnel</i>	4,300.00	9,635.00	13,935.00
<b>B.</b>	<i>Operating Costs</i>	1,057.00	700.00	1,757.00
<b>C.</b>	<i>Office Equipment</i>	0.00	0.00	0.00
<b>TOTAL ADMINISTRATIVE COSTS</b>		<b>\$5,357.00</b>	<b>\$10,335.00</b>	<b>\$15,692.00</b>
<b>II. Financial Audit</b>				
<b>A.</b>	<i>Financial Audit</i>	3,000.00	3,000.00	6,000.00
<b>TOTAL FINANCIAL AUDIT COSTS</b>		<b>\$3,000.00</b>	<b>\$3,000.00</b>	<b>\$6,000.00</b>
<b>III. Direct Services</b>				
<b>A.</b>	<i>Program Support</i>	12,036.00	6,250.00	18,286.00
<b>B.</b>	<i>Program Operations</i>	74,092.00	185,874.00	259,966.00
<b>C.</b>	<i>Vehicles &amp; Major Equipment</i>	0.00	0.00	0.00
<b>D.</b>	<i>Health &amp; Safety</i>	11,400.00	0.00	11,400.00
<b>TOTAL DIRECT SERVICES</b>		<b>\$97,528.00</b>	<b>\$192,124.00</b>	<b>\$289,652.00</b>
<b>IV. Liability</b>				
<b>A.</b>	<i>Liability</i>	1,250.00	1,250.00	2,500.00
<b>TOTAL LIABILITY</b>		<b>\$1,250.00</b>	<b>\$1,250.00</b>	<b>\$2,500.00</b>
<b>V. Training &amp; Technical Assistance</b>				
<b>A.</b>	<i>Training &amp; Technical Assistance</i>	6,200.00	0.00	6,200.00
<b>TOTAL Training &amp; Technical Assistance</b>		<b>\$6,200.00</b>	<b>\$0.00</b>	<b>\$6,200.00</b>
<b>GRAND TOTAL</b>		<b>\$113,335.00</b>	<b>\$206,709.00</b>	<b>\$320,044.00</b>
		<b>DOE</b>	<b>LIHEAP</b>	
<b>Proposed Number of Units</b>		13	29	
<b>Cost per Unit (as per DOE)</b>		\$6,500	\$6,500	

**APPENDIX B**

**SPECIAL CONDITIONS**  
**WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS PROGRAM**

Notwithstanding any provision contained herein to the contrary, the following Special Conditions apply to the above – referenced grant contract and are incorporated therein:

Original:

The grant contract amount represents the entire amount allocated to each agency based on a distribution formula. The agency will initially have spending authority for 50% of the allocation. Over the course of the 2010-2011 program year, the other 50% will be authorized or redistributed based upon specific performance quality metrics that will focus on quality, reporting and compliance with production schedules and the all other program requirements.

Subsequent Amendment:

Federal Funding Accountability and Transparency Act Provisions.

- (1) Registration and Identification Information.
  - (A) The Grantee must maintain current registration in the Central Contractor Registration ([www.ccr.gov](http://www.ccr.gov)) at all times during which the Grantee has active federal awards funded pursuant to this Contract. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number ([www.dnb.com](http://www.dnb.com)) is one of the requirements for registration in the Central Contractor Registration.
  - (B) The Grantee must provide its assigned DUNS number, and DUNS + 4 number if applicable, to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (2) Primary Location.
  - (A) The Grantee must provide to the Commonwealth the primary location of performance under the grant award, including the city, State, and zip+4. If performance is to occur in multiple locations, then the Grantee must list the location where the most amount of the grant award is to be expended pursuant to this Contract.
  - (B) The Grantee must provide this information to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (3) Compensation of Officers.

- (A) The Grantee must provide to the Commonwealth the names and total compensation of the five most highly compensated officers of the entity if:
  - (i) the entity in the preceding fiscal year received:
    - (a) 80 percent or more of its annual gross revenues in Federal awards; and
    - (b) \$25,000,000 or more in annual gross revenues from Federal awards; and
  - (ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- (B) If the Grantee does not meet the conditions listed above, then it must specifically affirm to the Commonwealth that the requirements of this clause are inapplicable to the Grantee.
- (C) The Grantee must provide information responding to this question along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides such information responding to this question.