

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT

THIRD AMENDMENT TO CONTRACT NO. C000050606

This contractual Agreement entered into by and between the Commonwealth of Pennsylvania, Department of Community and Economic Development, hereinafter referred to as the "Grantor", and the

STEEL VALLEY OPPORTUNITIES
INDUSTRIALIZATION CENTER
515 Walnut Street
McKeesport, PA 15132

hereinafter referred to as the "Grantee" party of the second part.

WITNESSETH:

WHEREAS, the Grantor has a Contract with the Grantee, and

WHEREAS, the Grantor wishes to amend the Contract to allow the Grantee to carry out the scope of services, and

NOW, THEREFORE, the parties hereto intending to be legally bound do hereby agree to the following:

1. Those programmatic changes and modification detailed in Appendix A, B & C .
2. The amount of this Budget Amendment is TWO HUNDRED FIVE THOUSAND, FOUR HUNDRED SEVENTY SIX DOLLARS (\$205,476.00) AND NO CENTS-----. The total amount of this Contract as amended will be SEVEN HUNDRED EIGHTY THOUSAND, EIGHT HUNDRED THIRTY FOUR DOLLARS (\$780,834.00) AND NO CENTS-----.
3. All terms and conditions of this Contract not changed or modified by this Amendment shall remain in full force and effect.

IN WITNESS WHEREOF the parties hereunto have set their hands and seals on:

WITNESS:

STEEL VALLEY OPPORTUNITIES
INDUSTRIALIZATION CENTER

Vendor Number
Federal Identification Number

For Commonwealth signatures only

Commonwealth of Pennsylvania
Acting through the
Department of Community and
Economic Development

GRANTEE: Please sign & complete at "X's" only

X By [Signature] (Seal)

X Title Executive Director

X Date 12/19/2011

Secretary/Deputy Secretary

12/29/11
Date

Approved:

X By [Signature]

X Title Vice Chairperson

X Date 12/19/2011

I hereby certify that funds in the amount of
\$205,476 are available under Appropriations
Symbol:

P39042904200

7021311000 2419001000 R300400041006600700 -
\$205,476

Program WXX
Contract # C000050606
CFDA # DOE: 81.042
CFDA # LIHEAP: 93.568

For Commonwealth signatures only

Approved as to Legality and Form

[Signature] 12/28/11
Office of Chief Counsel Date

Preapproved Form # 4-K-2202
Office of General Counsel Date

Preapproved Form # 4-K-2202
Office of Attorney General Date

Comptroller approved as to fiscal responsibility,
budgetary appropriateness and availability of
funds:

[Signature] 1-31-12
Comptroller Date

DCED-WX-004 (09-10)



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF COMMUNITY & ECONOMIC DEVELOPMENT
OFFICE OF ENERGY CONSERVATION & WEATHERIZATION

WEATHERIZATION ASSISTANCE PROGRAM PROPOSAL SUMMARY

SECTION I: GENERAL INFORMATION

CONTRACT NUMBER: #2 <i>C000050606</i>		IRS TAX EXEMPT NUMBER:
SUBGRANTEE: Steel Valley O.I.C. Inc.		
AGENCY ADDRESS: 515 Walnut Street McKeesport, PA 15132		
EXECUTIVE DIRECTOR: LuEthel Nesbit	TELEPHONE: 412-678-8622	
CONTACT PERSON Eileen Navish	TELEPHONE: 412-678-8622	

AMOUNT OF GRANT REQUEST	
Total Grant:	\$ 780,834
DOE:	\$ 240,025
LIHEAP Crisis:	\$ 540,809
Other:	

OTHER NON-WEATHERIZATION FUNDS	
SOURCE	AMOUNT
Total:	\$

SECTION II: APPLICATION SUMMARY

PROPOSED EXPENDITURE PERIOD	
(DOE) From: 7/1/2010	To: 6/30/2012
(LIHEAP Crisis) From: 10/1/2010	To: 9/30/2012
(OTHER) From:	To:
Area(s) to be served: Allegheny County	

PROPOSED # UNITS TO BE WEATHERIZED	
DOE:	33
LIHEAP CRISIS:	155
OTHER:	

SECTION III: PROGRAM SUMMARY

Steel Valley O.I.C. Inc. is a private non-profit 501c(3), a social service agency that proposes to operate a standard weatherization program that will provide weatherization services to eligible low-income residents that can be either homeowners or renters. Steel Valley OIC, Inc. currently operates a single program that will provide professional weatherization services, furnace repairs and/or replacement services, energy education that includes water conservation methods. Through the provision of these services, we will address the problems of heat lost, air filtration, poor or non-existent insulation, inefficient heating systems, and inadequate venting.

The standard housing stock in Allegheny County consists of homes that were built in the early 1900's. There was another surge of home building in 1945. The majority of houses in our service area are large, wood frame homes with their original windows. They are not insulated and in many cases these houses are not up to code for electrical wiring or plumbing. Brick houses built during this time are also in the same condition.

Many Allegheny county neighborhoods are comprised of low and low moderate income households that are headed by single females.

Our area also has an extremely high elderly population on a low or fixed income that is below poverty level. The unemployment rate in Allegheny County is extremely high as well.

Steel Valley OIC, Inc. will recruit clients from our waiting list. We will also continue to advertise and promote weatherization services through the media, speaking engagements and weatherization flyers. Our local legislatures also assist us by featuring our services in literature that they send out in their constituents. We will determine eligibility of clients by utilizing the Priority Point System.

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WEATHERIZATION ASSISTANCE PROGRAM PROPOSAL SUMMARY

SECTION III: PROGRAM SUMMARY (cont'd)

We proposed to provide standard weatherization services which will include client education services, furnace repair and/or furnace retrofit and other energy conservation services to thirty-three (33) homes utilizing DOE funds. Steel Valley O.I.C.'s projected goals were as follows: 27 single family homeowners, 5 single family renters and 1 mobile home owner. We weatherized home for 11 elderly clients and 6 handicapped clients. The labor was performed through direct hire.

We propose to do emergency furnace work in approximately 155 homes utilizing Liheap funding. We weatherized 105 homes including 98 single family homeowners, 4 single family renters and 1 mobile homeowner. We anticipate weatherizing 50 additional homes with the new funding which includes 47 single family homeowners, 2 single family renters and 1 mobile homeowner. We provided furnace retrofit and/or replacement to approximately 40 elderly and 44 handicapped individuals. We anticipate providing that service for an additional 20 elderly and 22 handicapped individuals.

We will subcontract all Liheap Crisis work to our furnace subcontractors. Our in-house auditors and furnace inspector will check all furnace work to insure that it meets the requirements of the program.

Our client education program includes our auditors giving each client a weatherization client education folder that not only allows them to keep all of their weatherization information together including any warranties they may receive, but has a variety of sheets that give helpful energy saving tips. The energy saving tips included in the folder are about insulation, hot water systems, conservation responsibility, furnace maintenance and heating. The auditors also explain the importance of saving energy as well as saving money. Our crews reinforce those energy saving tips after completing weatherization of each home and discussing what measures were installed and why.

SECTION IV: CERTIFICATION

AUTHORIZED SIGNATURE:

DATE SUBMITTED:

NAME AND TITLE:

LUCIHEL NESBIT, EXECUTIVE DIRECTOR

11/21/2011

Appendix B pg 2 of 2

PA Weatherization Program
Budget Summary for FY 2011 - 2012

Agency: SVOIC

Application Date: 11/1/2011

Proposed Contract Period: 07/01/2010 - 09/30/2013

Expenditure Period: 07/01/2011 - 09/30/2012

Amendment Number:
(if applicable) 2

Contract Number: C000050606

Amendment Date: 11/1/2011

	DOE	LIHEAP	FY 11/12 Total Wx Program
I. Administration			
A. Personnel	0.00	1,200.00	1,200.00
B. Operating Costs	0.00	9,073.00	9,073.00
C. Office Equipment	0.00	0.00	0.00
TOTAL ADMINISTRATIVE COSTS	\$0.00	\$10,273.00	\$10,273.00
II. Financial Audit			
A. Financial Audit	0.00	1,000.00	1,000.00
TOTAL FINANCIAL AUDIT COSTS	\$0.00	\$1,000.00	\$1,000.00
III. Direct Services			
A. Program Support	0.00	18,703.00	18,703.00
B. Program Operations	0.00	174,500.00	174,500.00
C. Vehicles & Major Equipment	0.00	0.00	0.00
D. Health & Safety	0.00	0.00	0.00
TOTAL DIRECT SERVICES	\$0.00	\$193,203.00	\$193,203.00
IV. Liability			
A. Liability	0.00	1,000.00	1,000.00
TOTAL LIABILITY	\$0.00	\$1,000.00	\$1,000.00
V. Training & Technical Assistance			
A. Training & Technical Assistance	0.00	0.00	0.00
TOTAL Training & Technical Assistance	\$0.00	\$0.00	\$0.00
GRAND TOTAL	\$0.00	\$205,476.00	\$205,476.00
	DOE	LIHEAP	
Proposed Number of Units	0	50	
Cost per Unit (as per DOE)	\$0	\$3,490	

SPECIAL CONDITIONS
WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS PROGRAM

Notwithstanding any provision contained herein to the contrary, the following Special Conditions apply to the above – referenced grant contract and are incorporated therein:

Original:

The grant contract amount represents the entire amount allocated to each agency based on a distribution formula. The agency will initially have spending authority for 50% of the allocation. Over the course of the 2010-2011 program year, the other 50% will be authorized or redistributed based upon specific performance quality metrics that will focus on quality, reporting and compliance with production schedules and the all other program requirements.

Subsequent Amendment:

Federal Funding Accountability and Transparency Act Provisions.

- (1) Registration and Identification Information.
 - (A) The Grantee must maintain current registration in the Central Contractor Registration (www.ccr.gov) at all times during which the Grantee has active federal awards funded pursuant to this Contract. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (www.dnb.com) is one of the requirements for registration in the Central Contractor Registration.
 - (B) The Grantee must provide its assigned DUNS number, and DUNS + 4 number if applicable, to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (2) Primary Location.
 - (A) The Grantee must provide to the Commonwealth the primary location of performance under the grant award, including the city, State, and zip+4. If performance is to occur in multiple locations, then the Grantee must list the location where the most amount of the grant award is to be expended pursuant to this Contract.
 - (B) The Grantee must provide this information to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.
- (3) Compensation of Officers.

- (A) The Grantee must provide to the Commonwealth the names and total compensation of the five most highly compensated officers of the entity if:
- (i) the entity in the preceding fiscal year received:
 - (a) 80 percent or more of its annual gross revenues in Federal awards; and
 - (b) \$25,000,000 or more in annual gross revenues from Federal awards; and
 - (ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- (B) If the Grantee does not meet the conditions listed above, then it must specifically affirm to the Commonwealth that the requirements of this clause are inapplicable to the Grantee:
- (C) The Grantee must provide information responding to this question along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides such information responding to this question.