

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT

THIRD AMENDMENT TO CONTRACT NO. C000050387

This contractual Agreement entered into by and between the Commonwealth of Pennsylvania, Department of Community and Economic Development, hereinafter referred to as the "Grantor", and the

CARBON COUNTY ACTION COMMITTEE FOR
HUMAN SERVICES
267 South 2nd Street
Lehighton, PA 18235

hereinafter referred to as the "Grantee" party of the second part.

WITNESSETH:

WHEREAS, the Grantor has a Contract with the Grantee, and

WHEREAS, the Grantor wishes to amend the Contract to allow the Grantee to carry out the scope of services, and

NOW, THEREFORE, the parties hereto intending to be legally bound do hereby agree to the following:

1. Those programmatic changes and modification detailed in Appendix A, B & C.
2. The amount of this Budget Amendment is THREE HUNDRED NINETY TWO THOUSAND, SEVEN HUNDRED FOUR DOLLARS (\$392,704.00) AND NO CENTS-----. The total amount of this Contract as amended will be SEVEN HUNDRED SEVENTY THREE THOUSAND, TWO HUNDRED THIRTY ONE DOLLARS (\$773,231.00) AND NO CENTS-----.
3. All terms and conditions of this Contract not changed or modified by this Amendment shall remain in full force and effect.

IN WITNESS WHEREOF the parties hereunto have set their hands and seals on:

WITNESS:

**CARBON COUNTY ACTION COMMITTEE
FOR HUMAN SERVICES**

For Commonwealth signatures only

Vendor Number :
Federal Identification Number 231673781

**Commonwealth of Pennsylvania
Department of Community and
Economic Development**

GRANTEE: Please sign & complete at "X's" only

X By *Timothy W. Kelly* (Seal)
X Title Executive Director
X Date 12/13/2011

[Signature]
Secretary/Deputy Secretary
12/28/11
Date

Approved:

X By *Bennie E. Bouchspies*
X Title Board Treasurer
X Date 12/13/2011

I hereby certify that funds in the amount of \$392,704 are available under Appropriations Symbol:

P39042904200
7021311000 2419001000 ~~P300400041006600700~~ -
\$308,617
P39500950300
7022211000 2419001000 ~~P395009501006600700~~ -
\$79,487
P39500950330
7022211000 2419001000 ~~P395009502006600700~~ -
\$4,600

Program WXX
Contract # C000050387
CFDA # DOE: 81.042
CFDA # LIHEAP: 93.568

For Commonwealth signatures only

Approved as to Legality and Form

[Signature] 12/28/11
Office of Chief Counsel Date

Preapproved Form # 4-K-2202
Office of General Counsel Date

Preapproved Form # 4-K-2202
Office of Attorney General Date

Comptroller approved as to fiscal responsibility, budgetary appropriateness and availability of funds:

[Signature] 1-31-12
Comptroller Date



WEATHERIZATION ASSISTANCE PROGRAM PROPOSAL SUMMARY

SECTION I: GENERAL INFORMATION

CONTRACT NUMBER: C000050387	IRS TAX EXEMPT NUMBER:
SUBGRANTEE: Carbon County Action Committee for Human Services	
AGENCY ADDRESS: 267 SOUTH SECOND STREET LEHIGHTON, PA 18235	
EXECUTIVE DIRECTOR: KIMBERLEY MILLER	TELEPHONE: 610-377-6400 (X 226)
CONTACT PERSON KIMBERLEY MILLER	TELEPHONE: 610-377-6400 (X 226)

AMOUNT OF GRANT REQUEST

Total Grant:	\$ 392,704
DOE:	\$54,087
LIHEAP Crisis:	\$308,617
Other:	

OTHER NON-WEATHERIZATION FUNDS

SOURCE	AMOUNT
Total:	\$

SECTION II: APPLICATION SUMMARY

PROPOSED EXPENDITURE PERIOD

(DOE) From: 7/1/11	To: 6/30/12
(LIHEAP Crisis) From: 10/1/11	To: 9/30/12
(OTHER) From:	To:
Area(s) to be served: Carbon County	

PROPOSED # UNITS TO BE WEATHERIZED

DOE:	17
LIHEAP CRISIS:	125
OTHER:	

SECTION III: PROGRAM SUMMARY

The Carbon County Action Committee is a private, non Profit, multi program Community Action Agency. The agency offers Standard Weatherization Assistance Measures via direct hire labor and its Crisis Interface and other furnace retrofit measures through subcontract agreements with participating area vendors. Services will be offered to both owner and renter occupied units. The program objective for the period July 1, 2011 through September 30, 2012 is to provide standard weatherization assistance to 17 Carbon County residences via its DOE grant and Crisis Interface heating system replacement/repair to 125 homes in Carbon County. All directives regarding eligibility, services to be applied, etc will be followed. Standard weatherization measures are provided based upon an energy audit utilizing DCED recommended procedures. Eligibility for LIHEAP Crisis Interface will be determined by the County Assistance Office and /or the local LIHEAP Crisis Contractor assigned to Carbon County.

Appendix A 7/31/12

SECTION III: PROGRAM SUMMARY (cont'd)

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SECTION IV: CERTIFICATION

AUTHORIZED SIGNATURE: <i>Kimberly Miller</i>	NAME AND TITLE: <i>KIMBERLY MILLER, Executive Director</i>
DATE SUBMITTED: <i>11/23/2011</i>	

Appendix A Pg 2 of 2

PA Weatherization Program
Budget Summary for FY 2011 - 2012

Agency:	Carbon County Action Committee for Human Services
Application Date:	11/23/2011
Proposed Contract Period:	07/01/2010 - 09/30/2013
Expenditure Period:	07/01/2011 - 09/30/2012
Amendment Number: <i>(if applicable)</i>	3
Contract Number:	C000050387
Amendment Date:	11/21/2011

	DOE	LIHEAP	Total Wx Program
I. Administration			
A. Personnel	3,575.00	3,929.00	7,504.00
B. Operating Costs	1,551.00	1,988.00	3,539.00
C. Office Equipment	0.00	0.00	0.00
TOTAL ADMINISTRATIVE COSTS	\$5,126.00	\$5,917.00	\$11,043.00
II. Financial Audit			
A. Financial Audit	750.00	750.00	1,500.00
TOTAL FINANCIAL AUDIT COSTS	\$750.00	\$750.00	\$1,500.00
III. Direct Services			
A. Program Support	24,649.00	12,798.00	37,447.00
B. Program Operations	46,662.00	287,652.00	334,314.00
C. Vehicles & Major Equipment	0.00	0.00	0.00
D. Health & Safety	800.00	0.00	800.00
TOTAL DIRECT SERVICES	\$72,111.00	\$300,450.00	\$372,561.00
IV. Liability			
A. Liability	1,500.00	1,500.00	3,000.00
TOTAL LIABILITY	\$1,500.00	\$1,500.00	\$3,000.00
V. Training & Technical Assistance			
A. Training & Technical Assistance	4,600.00	0.00	4,600.00
TOTAL Training & Technical Assistance	\$4,600.00	\$0.00	\$4,600.00
GRAND TOTAL	\$84,087.00	\$308,617.00	\$392,704.00
	DOE	LIHEAP	
Proposed Number of Units	17	125	
Cost per Unit (as per DOE)	\$4,195	\$2,404	

SPECIAL CONDITIONS
WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS PROGRAM

Notwithstanding any provision contained herein to the contrary, the following Special Conditions apply to the above – referenced grant contract and are incorporated therein:

Original:

The grant contract amount represents the entire amount allocated to each agency based on a distribution formula. The agency will initially have spending authority for 50% of the allocation. Over the course of the 2010-2011 program year, the other 50% will be authorized or redistributed based upon specific performance quality metrics that will focus on quality, reporting and compliance with production schedules and the all other program requirements.

Subsequent Amendment:

Federal Funding Accountability and Transparency Act Provisions.

(1) Registration and Identification Information.

- (A) The Grantee must maintain current registration in the Central Contractor Registration (www.ccr.gov) at all times during which the Grantee has active federal awards funded pursuant to this Contract. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (www.dnb.com) is one of the requirements for registration in the Central Contractor Registration.
- (B) The Grantee must provide its assigned DUNS number, and DUNS + 4 number if applicable, to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.

(2) Primary Location.

- (A) The Grantee must provide to the Commonwealth the primary location of performance under the grant award, including the city, State, and zip+4. If performance is to occur in multiple locations, then the Grantee must list the location where the most amount of the grant award is to be expended pursuant to this Contract.
- (B) The Grantee must provide this information to the Commonwealth along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides this information.

(3) Compensation of Officers.

- (A) The Grantee must provide to the Commonwealth the names and total compensation of the five most highly compensated officers of the entity if:
- (i) the entity in the preceding fiscal year received:
 - (a) 80 percent or more of its annual gross revenues in Federal awards; and
 - (b) \$25,000,000 or more in annual gross revenues from Federal awards; and
 - (ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986.
- (B) If the Grantee does not meet the conditions listed above, then it must specifically affirm to the Commonwealth that the requirements of this clause are inapplicable to the Grantee.
- (C) The Grantee must provide information responding to this question along with the Grantee's return of the signed Contract. The Commonwealth will not process this Contract until such time that the Grantee provides such information responding to this question.